IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

SSGT. JASON A. ADKINS, USAF,) C.A. NO.: 04-1453-JJF
Plaintiff,)
v.) DEFENDANTS' MEMORANDUM OF
DONALD H. RUMSFELD, Secretary of Defense; JAMES G. ROCHE, Secretary of the Air Force; GEN. JOHN W. HANDY, Commander Air Mobility Command; COL. JOHN I. PRAY, JR., 436th Airlif Wing Commander, in their official capacities,	
Defendants.	<i>)</i>

EXHIBIT 10:

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(Part 3 of 4)

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2005 WL 3263101

Page 1

--- F.Supp.2d ----, 2005 WL 3263101 (D.Del.)

(Cite as: 2005 WL 3263101 (D.Del.))

Motions, Pleadings and Filings

Only the Westlaw citation is currently available.

United States District Court. D. Delaware. Ernest BROOKINS, Plaintiff, Raphael WILLIAMS, Defendant. No. Civ. 04-1250-SLR.

Nov. 30, 2005.

Background: Former pretrial detainee brought § 1983 action against prison warden, alleging a violation of his Eighth Amendment rights. Warden moved for summary judgment.

Holdings: The District Court, Sue L. Robinson, Chief Judge, held that:

- (1) warden was not liable under § 1983 through the doctrine of respondeat superior;
- (2) forcing detainee to sleep on the floor, without a mattress, next to a

toilet was not punishment, and thus, did not violate his due process rights; and

(3) detainee was not subjected to deliberate indifference to serious medical needs in violation of his due process rights. Motion granted.

[1] Federal Civil Procedure \$\infty\$2533.1

170Ak2533.1 Most Cited Cases

Motion to dismiss for failure to state a claim would be treated as one for summary judgment where the parties referred to matters outside the pleadings. Fed.Rules Civ.Proc.Rules 12(b)(6), 56(c), 28 U.S.C.A.

[2] Civil Rights 5 1358

78k1358 Most Cited Cases

Prison warden was not liable under § 1983 through the doctrine of respondeat superior for alleged violations of pretrial detainee's Eighth Amendment rights where the detainee showed only that he filed grievances, did not show any evidence that the warden had either personal knowledge of, or in any way acquiesced to, the detainee's situation, and failed to allege anything but the mere supervisory function of the warden. U.S.C.A. Const.Amend. 8; 42 U.S.C.A. § 1983.

[3] Constitutional Law 262

92k262 Most Cited Cases

Former pretrial detainee's § 1983 suit against prison warden was properly brought under the Fourteenth Amendment Due Process Clause and not under the Eighth Amendment's protection against cruel and unusual punishment. U.S.C.A. Const.Amends. 8, 14 ; 42 U.S.C.A. § 1983.

[3] Sentencing and Punishment \$\infty\$1528

350Hk1528 Most Cited Cases

Former pretrial detainee's § 1983 suit against prison warden was properly brought under the Fourteenth Amendment Due Process Clause and not under the Eighth Amendment's protection against cruel and unusual punishment. U.S.C.A. Const.Amends. 8, 14 ; 42 U.S.C.A. § 1983.

[4] Constitutional Law 262

92k262 Most Cited Cases

To assess whether the due process rights of a pretrial detainee have been violated, it must be determined whether the disability is imposed for the purpose of punishment or whether it is but an incident of some other legitimate governmental purpose. U.S.C.A. Const.Amend. 14.

[5] Constitutional Law 262

92k262 Most Cited Cases

If a particular condition or restriction of pretrial detention is reasonably related to a legitimate

2005 WL 3263101

--- F.Supp.2d ----, 2005 WL 3263101 (D.Del.)

(Cite as: 2005 WL 3263101 (D.Del.))

governmental objective, it does not, without more, amount to "punishment," as required to establish a violation of the detainee's due process rights. U.S.C.A. Const. Amend. 14.

[6] Constitutional Law 262

92k262 Most Cited Cases

In assessing whether prison conditions for a pretrial detainee claiming that the conditions violate his due process rights are reasonably related to the assigned purposes, a court must inquire as to whether the conditions cause inmates to endure such genuine privations and hardship over an extended period of time that the adverse conditions become excessive in relation to the purposes assigned to them. U.S.C.A. Const.Amend. 14.

[7] Constitutional Law \$\infty\$262

92k262 Most Cited Cases

Forcing pretrial detainee to sleep on the floor, without a mattress, next to a toilet was not "punishment," and thus, did not violate his due process rights, where it served legitimate governmental purpose of housing inmates in overcrowded prison and lasted only for a period of five days until another accommodation was available. U.S.C.A. Const.Amend. 14.

[7] Prisons \$\infty\$17(1)

310k17(1) Most Cited Cases

Forcing pretrial detainee to sleep on the floor, without a mattress, next to a toilet was not "punishment," and thus, did not violate his due process rights, where it served legitimate governmental purpose of housing inmates in overcrowded prison and lasted only for a period of five days until another accommodation was available, U.S.C.A. Const. Amend. 14.

[8] Constitutional Law € 262

92k262 Most Cited Cases

Pretrial detainees are to be given appropriate medical care under the Due Process Clause. U.S.C.A. Const.Amend, 14.

[9] Constitutional Law 262

92k262 Most Cited Cases

The standard of medical care for pretrial detainees

required under the Due Process Clause cannot fall below the minimum level required by the Eighth Amendment, U.S.C.A. Const. Amends. 8, 14.

[10] Sentencing and Punishment \$\infty\$1546

350Hk1546 Most Cited Cases

Under the Eighth Amendment, at a minimum, prison officials cannot allow acts or omissions sufficiently harmful to evidence deliberate indifference to serious medical needs. U.S.C.A. Const. Amend. 8.

[11] Sentencing and Punishment \$\infty\$ 1546

350Hk1546 Most Cited Cases

To show a prison official's deliberate indifference to serious medical needs under the Eighth Amendment, the official must be aware of facts from which the inference could be drawn that a substantial risk of serious harm exists, and he must also draw that inference, U.S.C.A. Const.Amend. 8.

[12] Sentencing and Punishment \$\infty\$ 1546

350Hk1546 Most Cited Cases

An informed medical decision, even if it goes awry, does not represent cruel and unusual punishment under the Eighth Amendment. U.S.C.A. Const.Amend. 8.

[13] Constitutional Law 262

92k262 Most Cited Cases

Pretrial detainee who claimed that despite having mental illnesses, diabetes, and high blood pressure, he was not given proper medical care, including medication, was not subjected to deliberate indifference to serious medical needs in violation of his due process rights, where he was given all of his medication within a day of being booked, except for medication which he was unable to name for prison medical staff, and for which he was given tests to determine what he would need to treat his detected conditions and was then given appropriate medication, U.S.C.A. Const.Amend. 14.

[13] Prisons \$\infty\$17(2)

310k17(2) Most Cited Cases

Pretrial detainee who claimed that despite having mental illnesses, diabetes, and high blood pressure, he was not given proper medical care, including

Page 3 2005 WL 3263101

--- F.Supp.2d ----, 2005 WL 3263101 (D.Del.)

(Cite as: 2005 WL 3263101 (D.Del.))

medication, was not subjected to deliberate indifference to serious medical needs in violation of his due process rights, where he was given all of his medication within a day of being booked, except for medication which he was unable to name for prison medical staff, and for which he was given tests to determine what he would need to treat his detected conditions and was then

medication. U.S.C.A. given appropriate Const.Amend. 14.

Ernest Brookins, Howard R. Young Correctional Institution, Wilmington, Delaware. pro se.

Lisa Barchi, of the Delaware Department of Justice, Wilmington, Delaware. for Defendant Raphael Williams.

MEMORANDUM OPINION

SUE L. ROBINSON, Chief Judge.

I. INTRODUCTION

*1 Plaintiff Ernest Brookins, a former inmate of the Howard R. Young Correctional Institution ("HRYCI"), Wilmington, Delaware, files this 42 U.S.C. § 1983 (" § 1983") action alleging a violation of his Eighth Amendment rights. Defendant Raphael Williams is the Warden of HYRCI. Currently before the court is defendant's motion for summary judgment. (D.I.11) The court has jurisdiction pursuant to 28 U.S.C. § 1331.

II. BACKGROUND

Plaintiff's claims arise from his initial period of incarceration extending from July 7, 2004 through July 12, 2004. (D.I.2) While plaintiff was first being processed by booking on July 7, 2004, he claims that he was given "used clothing, underwear, T-shirts, socks, pants, shirts, blankets, pillow case (without pillows), and sheets." (D.I.2) Plaintiff states that when he refused to wear the used garments, an officer [FN1] told him he would "call a code" on him. (D.I.2) Plaintiff also claims that despite having mental illnesses, diabetes, and high blood pressure, he was not given proper medical care, including medication. [FN2] (D.I.2)

After his transfer from booking on July 8, 2004, plaintiff avers that he was placed in a cell with two other inmates and forced to sleep on the floor, without a mattress, next to a toilet. [FN3] (D.I.2) Plaintiff further claims that he was forced to eat next to the toilet. [FN4] (D.I.2) Additionally, plaintiff claims that during those five days in that cell, he was not allowed to exercise properly and there was a lack of hot water. (D.I.17) It was these conditions that plaintiff claims led to his feelings of stress, anxiety and humiliation. (D.I.2) Defendant Raphael Williams is the Warden of HRYCI. Plaintiff contends it was defendant's responsibility to protect plaintiff from these conditions. (D.I.2)

III. STANDARD OF REVIEW

[1] Because the parties have referred to matters outside the pleadings, defendant's motion to dismiss shall be treated as a motion for summary judgment. See Fed.R.Civ.P. 12(b)(6). A court shall grant summary judgment only if "the pleadings, to interrogatories, depositions, answers admissions on file, together with the affidavits, if any, show that there is no genuine issue as to any material fact and that the moving party is entitled to judgment as a matter of law." Fed.R.Civ.P. 56(c). The moving party bears the burden of proving that no genuine issue of material fact exists. See Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586 n. 10, 106 S.Ct. 1348, 89 L.Ed.2d 538 (1986). "Facts that could alter the outcome are 'material,' and disputes are 'genuine' if evidence exists from which a rational person could conclude that the position of the person with the burden of proof on the disputed issue is correct." Horowitz v. Fed. Kemper Life Assurance Co., 57 F.3d 300, 302 n. 1 (3d Cir.1995) (internal citations omitted). If the moving party has demonstrated an absence of material fact, the nonmoving party then "must come forward with 'specific facts showing that there is a genuine issue for trial.' " Matsushita, 475 U.S. at 587, 106 S.Ct. 1348 (quoting Fed.R.Civ.P. 56(e)). The court will "view the underlying facts and all reasonable inferences therefrom in the light most favorable to the party opposing the motion." Pa. Coal Ass'n v. Babbitt, 63 F.3d 231, 236 (3d Cir.1995). The mere existence of

Page 4 2005 WL 3263101

--- F.Supp.2d ----, 2005 WL 3263101 (D.Del.)

(Cite as: 2005 WL 3263101 (D.Del.))

some evidence in support of the nonmoving party, however, will not be sufficient for denial of a motion for summary judgment; there must be enough evidence to enable a jury reasonably to find for the nonmoving party on that issue. See Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 249, 106 S.Ct. 2505, 91 L.Ed.2d 202 (1986). If the nonmoving party fails to make a sufficient showing on an essential element of his case with respect to which he has the burden of proof, the moving party is entitled to judgment as a matter of law. See Celotex Corp. v. Catrett, 477 U.S. 317, 322, 106 S.Ct. 2548, 91 L.Ed.2d 265 (1986).

IV. DISCUSSION

A. Respondeat Superior Liability

*2 [2] Defendant Raphael Williams argues that he is being sued in his capacity as Warden of the HYRCI and is not liable through the doctrine of respondeat superior. The Third Circuit has held that "[a] defendant in a civil rights action must have personal involvement in the alleged wrongs; liability cannot be predicated solely on the operation of respondeat superior." Rode v. Dellarciprete, 845 F.2d 1195, 1207 (3d Cir.1988); see also Monell v. Dep't of Soc. Servs., 436 U.S. 658, 98 S.Ct. 2018, 56 L.Ed.2d 611 (1978). Personal involvement can be established through allegations of either personal direction or actual knowledge and acquiescence; however, such allegations must be made with particularity. See Rode, 845 F.2d at 1207.

Viewing the facts in a light most favorable to the plaintiff, the court finds that plaintiff has not shown any evidence that defendant had either personal knowledge of, or in any way acquiesced to, plaintiff's situation. (D.I.2) Here, much like in Rode, plaintiff has failed to allege anything but the mere supervisory function of defendant, which is not enough to make a claim under respondeat superior. All plaintiff has shown is that he filed grievances. (D.I.2) Grievances are not enough to impute knowledge to the defendant. [FN5] Rode, 845 F.2d at 1208. In sum, plaintiff has not alleged claims against defendant with particularity. (D.I.2) He failed to make an allegation that defendant was involved in any aspect of his alleged mistreatment. (D.I.2, 12) Therefore, summary judgment shall be granted in favor of defendant.

B. Plaintiff's Conditions of Confinement Claim

[3] Even if plaintiff's claims were not denied under respondeat superior, his claims would fail as a Fourteenth Amendment argument. Although plaintiff brought this suit under the Eighth Amendment, he was a pretrial detainee as opposed to an inmate who was in the custody of the State. Therefore, this suit is properly brought under the Fourteenth Amendment Due Process Clause and not under the Eighth Amendment's protection against cruel and unusual punishment. See Hubbard v. Taylor, 399 F.3d 150 (3d Cir.2005).

[4][5][6] To assess whether the constitutional rights of a pretrial detainee have been violated, it must be determined whether the "disability is imposed for the purpose of punishment or whether it is but an incident of some other legitimate governmental purpose." Bell v. Wolfish, 441 U.S. 520, 539, 99 S.Ct. 1861, 60 L.Ed.2d 447 (1979); see also Hubbard, 399 F.3d at 165. "Thus, if a particular condition or restriction of pretrial detention is reasonably related to a legitimate governmental objective, it does not, without more, amount to 'punishment.' " Bell, 441 U.S. at 538, 99 S.Ct. 1861. "In assessing whether the conditions are reasonably related to the assigned purposes, we must further inquire as to whether these conditions cause [inmates] to endure [such] genuine privations and hardship over an extended period of time, that the adverse conditions become excessive in relation to the purposes assigned to them." Hubbard, 399 F.3d at 159-160 (citing Bell, 441 U.S. at 542, 99 S.Ct. 1861) (internal quotation marks omitted).

*3 [7] Plaintiff was not punished by being forced to sleep on the floor of his cell. Although this lodging was less than comfortable, it served a legitimate governmental purpose. Overcrowding has become a fact of life in prisons and the need of inmates to be somewhere underlies this housed legitimate governmental Indeed, purpose. [FN6]

Page 5 2005 WL 3263101

--- F.Supp.2d ----, 2005 WL 3263101 (D.Del.)

(Cite as: 2005 WL 3263101 (D.Del.))

conditions at issue lasted only for a period of five days until another accommodation was available for plaintiff. (D.I.12) Additionally, the Third Circuit in Hubbard indicated that it is peculiarly within the province of correctional officials, based on their expertise, to determine whether conditions are related to a legitimate government interest. The court should give deference to the correctional officials' opinions unless it is shown that they have blatantly exaggerated. Hubbard, 399 F.3d at 159. In this case, prison officials have decided that, although uncomfortable, placing a pretrial detainee such as the plaintiff on the floor is the best way to deal with overcrowded prisons.

The court must next ask if the conditions imposed on plaintiff amounted to punishment. The situation at bar is factually akin to that which occurred in Hubbard. In Hubbard, pretrial detainees were confined three to a two-person cell. However, what is significantly different from plaintiff's situation is that the pretrial detainees in Hubbard were confined for at least two months in those conditions and, in most cases, the confinement was between three to seven months. Hubbard, 399 F.3d at 155. The Hubbard court, in analyzing Bell, noted that the Supreme Court did not "elaborate upon the duration of confinement that could constitute an extended period of time, nor did it elaborate upon the kind of privations and hardship that could constitute punishment in violation of the Due Process Clause." Hubbard, 399 F.3d at 159 (citing Bell, 441 U.S. at 542, 99 S.Ct. 1861). In Bell, because of overcrowding, the prison housed two pretrial detainees in a cell designed for one over a period of less than sixty days. The court ruled that this did not amount to a violation of Due Process rights. Bell, 441 U.S. at 542, 99 S.Ct. 1861. Based on the analysis in Bell, it appears that the conditions those detainees endured did not amount to punishment outside the purview of the Fourteenth Amendment. In the case at hand, plaintiff's confinement period was only five days. (D.I.12) This time period is substantially less than the periods of confinement in both Bell and Hubbard. Based on these facts, plaintiff's brief period of confinement cannot be considered punishment.

Plaintiff's complaints of being forced to eat in close proximity to the toilet are also without constitutional dimension, when plaintiff brought food back to his cell in violation of the rules and chose to eat there. [FN7]

C. Plaintiff's Claim of Improper Medical Care

[8][9][10][11][12] Pretrial detainees are to be given appropriate medical care under the Due Process Clause. Boring v. Kozakiewicz, 833 F.2d 468, 471 (3d Cir.1987) (citing City of Revere v. Massachusetts General Hospital, 463 U.S. 239, 244, 103 S.Ct. 2979, 77 L.Ed.2d 605 (1983). The standard of medical care required under the Due Process Clause cannot fall below the minimum level required by the Eighth Amendment. See Boring, 833 F.2d at 471 (citing Hamm v. DeKalb County, 774 F.2d 1567 (11th Cir.1985)). At a minimum, prison officials cannot allow "acts or omissions harmful evidence deliberate sufficiently to indifference to serious medical needs." See Boring, 833 F.2d at 471; see also Natale v. Camden County Correctional Facility, 318 F.3d 575, 581 (3d Cir.2003); Estelle v. Gamble, 429 U.S. 97, 106, 97 S.Ct. 285, 50 L.Ed.2d 251 (1976). To show deliberate indifference, the official must be aware of facts from which the inference could be drawn that a substantial risk of serious harm exists, and he must also draw that inference. Farmer v. Brennan, 511 U.S. 825, 838, 114 S.Ct. 1970, 128 L.Ed.2d 811 (1994). Moreover an informed medical decision, even if it goes awry, does not represent cruel and unusual punishment. Estelle, 429 U.S. at 107, 97 S.Ct. 285. Deliberate indifference is something more than simple negligence. Farmer, 511 U.S. at 837-838, 114 S.Ct. 1970.

*4 [13] Medical records from the prison indicate that plaintiff was given all of his medication within a day of being booked (except for the medication which he was unable to name for prison medical staff). For those unnamed medications, he was given tests to determine what he would need to treat his detected conditions and was then given appropriate medication. (D.I. 12 at ex. B, C, D) This evidence is in direct contradiction to what the plaintiff stated in his complaint, where he claimed

Page 6 2005 WL 3263101

--- F.Supp.2d ----, 2005 WL 3263101 (D.Del.)

(Cite as: 2005 WL 3263101 (D.Del.))

that he was not given any of his medications initially. (D.I.2) The record belies what plaintiff has claimed and indicates that he was treated to the best of the prison's ability at the time of his admittance. The doctor prescribed proper medication to the plaintiff and ordered tests for conditions he could not immediately diagnose or treat. (D.I. 12 at ex. B, C, D)

Additionally, defendant has not been shown to have been deliberately indifferent. Even if defendant had cursory knowledge about plaintiff's condition, [FN8] defendant cannot be accused of having ignored the risk of serious harm to plaintiff. Plaintiff was given reasonable treatment that was available in the prison infirmary; he was not ignored, as he claims. (D.I.2, 12)

Based on the above reasoning, summary judgment is granted in favor of defendant on plaintiff's Due Process claims, assuming plaintiff could properly bring a claim against defendant under respondeat superior.

V. CONCLUSION

For the reasons stated above, the court grants defendant's motion for summary judgment. An appropriate order shall issue.

ORDER

At Wilmington this 30th day of November, 2005, for the reasons stated in the memorandum opinion issued this same date;

IT IS ORDERED that:

- 1. Defendant's motion for summary judgment (D.I. 11) is granted.
- 2. The Clerk of Court is directed to enter judgment in favor of defendant and against plaintiff.

FN1. Plaintiff's claim only identifies one of the officers and medical staff whom he claims mistreated him.

FN2. Defendant's memorandum in support

of his motion to dismiss counters plaintiff's claim. Medical records are cited which contradict plaintiff's claims of nonfeasance regarding his mental conditions (D.I. 12 at ex. G, H) and his diabetes (D.I. 12 at ex.

FN3. Plaintiff's claim fails to mention that he was moved to a cell which had a bunk, where he did not have to sleep on the floor, five days later. (D.I. 12 at ex. A)

FN4. Defendant's motion denies this claim. Defendant claims that prisoners are generally not supposed to be eating in their cells. (D.I. 12)

FN5. In Rode, the court stated that if the filing of a grievance were enough to put a defendant on notice for personal liability, it would allow for personal liability in almost every case. Rode, 845 F.2d at 1208

FN6. Hubbard discusses the case of Union County Jail Inmates v. DiBuono, 713 F.2d 984 (3d Cir.1983), where the court concluded that prison overcrowding was rationally connected to the objective of detaining inmates who could not make bail.

FN7. defendant's memorandum Ĭη supporting summary judgment, it is stated that the prison considers food in a cell to be contraband for a prisoner with diabetes, a condition from which plaintiff has constantly pointed out he suffers. (D.I. 12 at ex. I)

FN8. Plaintiff did file a grievance relating to this, however, merely filing a grievance was not enough to put defendant on notice that a possible serious harm had taken place. Rode, 845 F.2d at 1208.

--- F.Supp.2d ----, 2005 WL 3263101 (D.Del.)

Motions, Pleadings and Filings (Back to top)

Page 7 2005 WL 3263101

--- F.Supp.2d ----, 2005 WL 3263101 (D.Del.)

(Cite as: 2005 WL 3263101 (D.Del.))

• 1:04cv01250 (Docket) (Sep. 09, 2004)

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Page 1

Slip Copy, 2005 WL 2271922 (D.Del.)

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Only the Westlaw citation is currently available.

United States District Court, D. Delaware. John E. BROWN, Plaintiff, v.

Robert I. GEORGE, James L. Kidd, Angel M. Malabet, Linwood N. Chatman, Dean J. Blades, Barbara Kay Costello, Julie Harriatt and Dale E. Millman Defendants. No. Civ.A.02-1686-KAJ.

Sept. 19, 2005.

Dawn Marie Jones, John W. Shaw, Michael William McDermott, Young Conaway Stargatt & Taylor, LLP, Wilmington, Delaware, for Plaintiff.

Eileen Kelly, Department of Justice, Wilmington, Delaware, for Defendants.

MEMORANDUM OPINION

JORDAN, J.

I. INTRODUCTION

*1 Before me is a motion for summary judgment (Docket Item ["D.I."] 127, "the Motion") filed by defendants Robert George, Dean Blades, James Kidd, Angel Malabet, Linwood Chatman, Barbara Kay Costello, Julie Harriatt, and Dale Millman. [FN1] The Complaint by John E. Brown ("Brown") alleges that Defendants and George violated his right to be free from cruel and unusual punishment while he was an inmate at the Sussex Violation of Probation Center ("SVOP"). (D.I. 97 at ¶¶ 31, 35, 38, 42, 43, 46, 49.) Brown's complaint alleges violations of the Eighth and Fourteenth Amendments to the Constitution under 42 U.S.C. § 1983, a violation of Article I, Section 11 of the Delaware Constitution, a claim for assault and battery, and a claim for unlawful deprivation of the right to be treated humanely under 11 Del. C. § 6531 . (*Id.* at ¶¶ 31-36, 38, 42, 43, 46, 49.)

> FN1. Defendant Robert George will be referred to herein as "George." Defendants James Kidd, Angel Malabet, Linwood Chatman, Barbara Kay Costello, Julie Harriatt and Dale Millman will be collectively referred to as "Defendants." Robert George is not included in the designation "Defendants" unless otherwise noted.

Jurisdiction over the § 1983 claim is appropriate under 28 U.S.C. §§ 1331 and 1343. Supplemental jurisdiction exists over the other claims under 28 U.S.C. § 1367. For the reasons that follow, I will grant summary judgment for Defendant Robert George on all claims. Additionally, I will grant summary judgment for all Defendants as to claims against them in their official capacities. However, I will deny summary judgment with respect to the claims against Kidd, Malabet, Chatman, Blades, Costello, Harriatt, and Millman in their individual capacities.

II. BACKGROUND [FN2]

FN2. The following rendition of background information does not constitute findings of fact and is cast in the light most favorable to the non-moving party.

John E. Brown was an inmate at SVOP from approximately June 4, 2002 until late October of 2002. (D.I. 129 at A-190.) SVOP is a Level IV facility which serves the state's effort to "house violation of probation offenders in low-grade, non-secure, military style 'work camps." ' 71 Del. Laws 354, at 226 (1998). It is located at the Sussex Community Corrections Center ("SCCC"), which also houses the Sussex Work Release Center. (D.I.

Slip Copy

Slip Copy, 2005 WL 2271922 (D.Del.)

(Cite as: 2005 WL 2271922 (D.Del.))

128 at 3.) At SVOP, inmates are required to go out on work crews on a daily basis, performing community service projects both inside and outside of SCCC. (D.I. 129 at A-118.)

To encourage inmate compliance with the rules, officers at SVOP can assign inmates to perform tasks, which are known as "Extra Work Incentive" ("EWI"). (D.I. 129 at A-142.) EWI is assigned to inmates at SVOP who, for example, "display bad attitudes, do not set up their living area as instructed, talking (sic) in the hallways or chow hall ...". (Id. at A-142.) Tasks assigned to inmates who are given EWI range from stacking firewood to scrubbing the parking lot with a toothbrush. (Id. at A-134.) EWI tasks are designed to be tedious or tiring, in an attempt to deter future rule-breaking. (Id. at A-148.) EWI can be assigned "instantly" by an officer at the time of a rule infraction, or by the Multi-Disciplinary Team in response to an officer's report of an inmate's infraction. (Id. at A-147.)

At SVOP, officers carry cap-stun [FN3] to be used for self-defense, defense of others, and to "maintain order and control." (D.I. 129 at A-38-39.) Cap-stun comes in two sizes: a five-ounce can, known as a 305, and a ten ounce can, known as a 505. (*Id.* at A-60.) The 505 is also known as a "party can" or a "riot can." (D.I. 136 at B395-396.) Some officers, including Corporal James Kidd ("Kidd"), carry both sizes. (D.I. 129 at A-60.) SVOP does not directly track the issuance of cans of cap-stun to its officers. (*Id.* at A-57.) Cap-stun is tracked only through reports that are filed when it is used on an inmate. (*Id.*) To get a new or additional can of cap-stun, an officer simply has to request one. (*Id.*)

FN3. Cap-stun, otherwise known as pepper spray, is a cayenne pepper based aerosol spray. (D.I. 129 at A-161.)

*2 The events relevant to the instant action took place on June 27, 2002. On that morning, at about 8 a.m., Brown and other inmates were on the quarterdeck, [FN4] getting ready to go out with a work crew. (D.I. 136 at B380; D.I. 129 at A-273.) Kidd was calling the roll of prisoners when he and Brown became involved in a verbal altercation.

[FN5] (D.I. 136 at B380.) After this altercation, Kidd ordered Brown to a woodpile to perform "instant" EWI. (D.I. 129 at A-44-45.)

FN4. The quarterdeck is an area outside SVOP, but within its fenceline, where work crews assemble on painted footprints before leaving for their work assignments. (D.I. 129 at A-107.)

FN5. The parties dispute why the altercation started. Brown claims that Kidd came up to him and told him to be quiet, although he was silent and staring straight ahead. (D.I. 129 at A-197.) Brown further claims that Kidd began yelling at him for talking and for staring at Kidd. (Id.) When Brown replied that he was not staring at Kidd, Kidd ordered him to go to the woodpile. (Id.) An eyewitness claimed that the altercation started because Brown replied "yes" rather than "yes, sir" when Kidd called his name during roll call. (D.I. 136 at B380.) Defendants claim Brown was talking in a loud and belligerent manner, and became more belligerent when Kidd tried to address him, claiming that his rights were being violated and that he could not be forced to work for nothing. (D.I. 129 at A-273.)

Brown alleges that at the woodpile, Kidd ordered him to perform an exercise called the "mule kick," which required Brown, on his hands and knees, to kick a log around the woodpile. (D.I. 129 at A-198.) When, after two times around the woodpile, Brown became exhausted, Brown alleges that Kidd threatened to spray him with a can of cap-stun "the size of a can of pork and beans" [FN6] if he didn't continue kicking the log. (Id. at A-199.) After Brown pleaded that, due to his age and the heat, he could not continue, Kidd gave Brown a smaller log and instructed him to place it on his chest and, while propping himself up with his arms and legs beneath him, to move around the woodpile on "all fours." (Id. at A-199 .) After moving halfway around the woodpile, Brown claims that he again became exhausted, and pleaded with Kidd to allow

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Slip Copy, 2005 WL 2271922 (D.Del.)

(Cite as: 2005 WL 2271922 (D.Del.))

him to stop. (*Id.* at A-200.) Kidd again threatened Brown with the can of cap-stun, struck Brown on the shoulder with his hand and pulled Brown up so that he was standing. (*Id.* at A-201.) [FN7]

FN6. Here, according to another witness, Brown is referring to the 505, or ten-ounce can of cap-stun. (D.I. 136 at B395.)

FN7. Defendants do not dispute that the EWI activities that Brown alleges Kidd ordered him to perform were not included on SVOP's list of approved EWI. (D.I. 128 at 9, n. 5; D.I. 129 at A-144-45.)

According to Brown, Kidd then pointed the can of cap-stun at Brown. (D.I. 129 at A-201.) Brown covered his face with his hands, and as Kidd began to spray, began to move backwards away from Kidd. [FN8] (Id. at A-201.) Brown claims that when he was four-feet away from Kidd, he stopped. (Id.) Kidd then pulled out his radio, and called for assistance. (Id.) Brown states that Kidd began to move toward him again, and he again started to back away. (Id.) Kidd ordered him to get down on the ground. (Id.) Brown refused, and continued to back away, eventually turning and running from Kidd. (Id. at A-202.) Brown next claims that he saw a number of corrections officers coming toward him. [FN9] (Id.) At that point, Brown alleges that he ran toward the officers, raising his hands up in surrender in the hope that the other officers would keep Kidd from spraying him with the cap-stun. (Id.) He states that when he got close to the officers, he laid down on his stomach voluntarily and put his hands behind his back. (Id.)

FN8. It is undisputed that Brown was not hit with the cap-stun at this point. (D.I. 136 at B453.)

FN9. None of the witnesses gives a clear picture of which officers responded to Kidd's call for back-up. Brown claims he saw Barbara Kay Costello ("Costello"), Julie Harriatt ("Harriatt"), Angel Malabet ("Malabet"), and Linwood Chatman ("Chatman"). (D.I. 129 at A-212.) Brown

claims that he heard from other inmates that Dale Millman ("Millman") and Dean Blades ("Blades") were also present. (Id. at A212-13.) Kidd claims that he recalls Chatman, Millman, and Malabet. (Id. at A-50.) Other witnesses remember some of the officers who were present, but no witness is sure exactly who was there, or exactly what each officer did. (Id. at A-71, A-110-12, A-162-63; D.I. 136 B390-96.) Costello, Millman, and Harriatt all state that they were present. (D.I. 129 at A-115, A-152-53; D.I. 136 at B548-51.) Blades says he was present, and that he secured Brown's legs while Chatman and Malabet handcuffed him. (D.I. 129 at A-162.) Chatman says that he tackled and that he and Malabet handcuffed him. (D.I. 129 at A-130, A-65, A-69.)

Brown next claims that he felt a knee in his back, [FN10] and someone handcuffing him. (D.I. 129 at A-202.) He alleges that one officer grabbed his hair and pulled his head up, and that another officer began spraying him with cap-stun. [FN11] (Id. at A-203.) Brown claims that the cap-stun was held about three inches from his face, and sprayed for about six seconds. (Id.) Witnesses also say that the cap-stun was held close to Brown's face. (D.I. 136 at B423.) Next, Brown alleges that the officer who grabbed his hair smashed his face into the sand. (D.I. 129 at A-203.) Brown says that his head was again lifted, he was sprayed for another six seconds with a second can of cap-stun, and then his head was again smashed into the sand. (Id.) He was then taken back to the quarterdeck, where he collapsed. (Id. at A-204) Brown alleges that he was left on the quarterdeck in the hot sun for about an hour and fifteen minutes, during which time he attempted to walk around, pled for someone to give him water, and urinated on himself. (Id. at A-204-05.)

FN10. In his first deposition, Brown identified the officer who pinned him with a knee as Dean Blades. (D.I. 129 at A-235.) Brown now says that he does not know which officer put his or her knee is

Page 4

Slip Copy, 2005 WL 2271922 (D.Del.)

(Cite as: 2005 WL 2271922 (D.Del.))

his back. (Id. at A-202.)

FN11. Defendants state that the two officers who handcuffed and sprayed cap-stun on Brown were Linwood Chatman and Angel Malabet. (D.I. 129 at A-65, A-69.) In his first deposition, Brown thought that Barbara Costello handcuffed him and Kidd sprayed the cap-stun. (Id. at A-235.) In his second deposition, two years later, Brown stated that he did not know who handcuffed him or sprayed the cap-stun. (Id. at A-202.)

*3 Brown alleges that he heard the voice of Lieutenant Costello [FN12] ask another officer what was wrong with Brown. (*Id.* at A-205.) When Lt. Costello learned that Brown had been sprayed with two cans of cap-stun, Brown alleges that Costello said "[t]hat is too much." (*Id.*) Brown next claims that Lt. Costello said "[l]ift him off the quarterdeck before he suffocates and get him inside the air-conditioning and also in the shower." (*Id.*) Medical records indicate that Brown was seen by medical personnel at 10:30 that morning. (D.I. 129 at A-270.) Brown alleges that as a result of the incident on June 27, his left eye was injured. (D.I. 97 at ¶ 23.)

FN12. Lieutenant Costello and Defendant Sergeant Barbara Kay Costello are two different people. (D.I. 129 at A-205-06.) Lieutenant Costello is not a defendant in this action.

Defendants present an almost entirely different version of the events of June 27, 2002. The parties dispute why the altercation between Brown and Kidd started. (See supra n. 4.) Additionally, Defendants dispute the nature of the EWI Brown was assigned, claiming that he was told to roll the log around the woodpile with his hands. (D.I. 129 at A-45.) Further, Defendants allege that when Brown stopped his EWI activity, he was ordered by Kidd to start again. (Id. at A-45.) Defendants claim that Kidd then drew a 305 size can of cap-stun, at which point Brown ran away from Kidd. (Id. at A-45.) Kidd began to chase Brown, and called for backup. (

Id. at A-49.) Defendants also allege that Brown did not surrender voluntarily to the guards who came, but that Chatman tackled him, and then handcuffed him with the help of Malabet. (Id. at A-65, A-69.) Defendants claim that during this altercation, Brown would not stop struggling, and so Malabet sprayed him with cap-stun for one or two seconds. (Id. at A-65, A-69.) Defendants assert that this was the only time that Brown was sprayed with cap-stun during the incident, and they deny that two cans of cap-stun were used on him. (Id. at A-74.) Defendants further deny that Brown's head was smashed into the ground, or that a knee was pushed into his back. (Id. at A-74-75.)

Finally, Defendants assert that Brown's eye injury was not caused by the incident on June 27, 2002. (D.I. 129 at A-322-23.) While Defendants' expert agrees with Brown's expert that his vision problems were caused by "abnormalities of the left fovea," Defendants dispute that this injury could have been caused by Brown being sprayed with cap-stun. (*Id.*)

It is undisputed that Warden George was not present at the woodpile during the incident on June 27, 2002. (D.I. 129 at A-209.) It is also undisputed that Kidd had previously been suspended by Warden Robert George ("George") for using excessive force in an incident involving cap-stun. (*Id.* at A-7-9.)

III. STANDARD OF REVIEW

A court may grant summary judgment only if "the pleadings, depositions, answers to interrogatories, and admissions on file, together with the affidavits, if any, show that there is no genuine issue as to any material fact and that the moving party is entitled to judgment as a matter of law." Fed.R.Civ.P. 56(c). The moving party bears the burden of proving that no genuine issue of material fact exists. See Celotex v. Catrett, 477 U.S. 317, 323 (1986). "Facts that could alter the outcome are 'material,' and disputes are 'genuine' if evidence exists from which a rational person could conclude that the position of the person with the burden of proof on the disputed issue is correct." Horowitz v. Fed. Kemper Life Assurance Co., 57 F.3d 300, 302 n. 1 (3d Cir.1995)

Slip Copy

Slip Copy, 2005 WL 2271922 (D.Del.)

(Cite as: 2005 WL 2271922 (D.Del.))

(internal citations omitted). If the moving party has demonstrated an absence of material fact, the nonmoving party then "must come forward with 'specific facts showing that there is a genuine issue for trial." ' Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 587 (1986) (quoting Fed.R.Civ.P. 56(e)). The court will "view the underlying facts and all reasonable inferences therefrom in the light most favorable to the party opposing the motion." Pa. Coal Ass'n v. Babbitt, 63 F.3d 231, 236 (3d Cir.1995). However, a court should not make credibility determinations or weigh the evidence. Reeves v. Sanderson Plumbing Prods., Inc., 530 U.S. 133, 150 (2000).

IV. DISCUSSION

*4 In their Motion, Defendants and George claim that they are entitled to summary judgment for three reasons. First, they argue that the Eleventh Amendment precludes their being held liable in their official capacities. Second, they argue that Brown cannot prove that each individual Defendant was personally involved in the events at issue. Third, they argue that they are entitled to summary judgment on all of Brown's state law claims under Delaware's State Tort Claims Act. Finally, they argue that they are entitled to qualified immunity, thus relieving them from liability. I will grant summary judgment to all Defendants as to the claims brought against them in their official capacities, as these claims are precluded by the Eleventh Amendment. Further, I will grant summary judgment to Defendant George, as the undisputed facts show that he is not liable for any potential violations of Brown's constitutional rights. However, I will deny summary judgment as to the claims brought against Defendants Kidd, Malabet, Chatman, Blades, Costello, Harriatt, and Millman in their individual capacities, as there are disputed issues of material fact with respect to their personal involvement, with respect to whether they are entitled to qualified immunity, and with respect to whether they are entitled to immunity under the Delaware State Tort Claims Act.

A. Eleventh Amendment Immunity

First, the Eleventh Amendment protects Defendants from liability in their official capacities. The Eleventh Amendment proscribes any suit against a state, or against a state agency or department or a state official when "the state is the real, substantial party in interest," unless the state consents to suit. Pennhurst State School & Hosp. v. Halderman, 465 U.S. 89, 100-101 (1984). A plaintiff may sue a state official for monetary damages, but "when the action is in essence one for the recovery of money from the state, the state is the real, substantial party in interest and is entitled to invoke its sovereign immunity from suit even though individual officials are nominal defendants." Ford Motor Co. v.

Department of Treasury of State of Indiana, 323

Here, Brown is suing George, Kidd, Malabet, Chatman, Blades, Costello, Harriatt, and Millman for "compensatory and punitive damages ... in their individual and/or official capacities ...". (D.I. 97 at 12.) However, the Eleventh Amendment bars Brown's recovery of monetary damages against the Defendants and George in their official capacities. Because Brown does not request any other relief, summary judgment for all of the defendants in this case is granted with respect to the claims against them in their official capacities.

B. Personal Involvement

U.S. 459, 464 (1945).

1. Warden George

To be held liable for a civil rights violation, a defendant must be personally involved in the alleged wrong. Rode v. Dellarciprete, 845 F.2d 1195, 1207 (3d Cir.1988). Therefore, for a supervisor to be liable for the wrongs committed by his subordinates, the supervisor must be personally involved in those wrongs. Id. This liability cannot be based solely on respondeat superior, but may be shown in one of three ways. Id. First, a supervisor is personally involved in a constitutional violation committed by a subordinate when the supervisor personally directs a subordinate to undertake the particular action in question. Id. Second, a supervisor's actual knowledge and acquiescence can show personal involvement. [FN13] Id. Finally,

Slip Copy, 2005 WL 2271922 (D.Del.)

(Cite as: 2005 WL 2271922 (D.Del.))

"defendants who are policymakers may be liable under § 1983 if it is shown that such defendants, 'with deliberate indifference to the consequences, established and maintained a policy, practice or custom which directly caused [the] constitutional harm." ' A.M. ex rel. J.M.K. v. Luzeme County Juvenile Detention Center, 372 F.3d 572, 586 (3d Cir.2004) (internal citations omitted).

> FN13. "[S]upervisory officials have no affirmative duty to train or discipline an offending subordinate." See Chinchello v. Fenton, 805 F.2d 126, 133 (3d Cir.1986) (citing Rizzo v. Goode, 423 U.S. 362, 377 (1976)). But, "[w]here a supervisor with authority over a subordinate knows that the subordinate is violating someone's rights but fails to act to stop the subordinate from doing so, the factfinder may usually infer that the supervisor 'acquiesced' in (i.e., tacitly assented to or accepted) the subordinate's conduct." Robinson v. City of Pittsburgh, 120 F.3d 1286, 1294 (3d Cir.1997) (footnote omitted).

*5 It is undisputed that George was not present during the event of June 27, 2002. (D.I. 129 at . A-209.) There is nothing in the record to indicate that George personally directed the incident. Instead, Brown alleges that George was at fault for failing to have an adequate grievance procedure in place at SVOP. (Id. at A-215.) Brown further contends that because Kidd had previously been involved in a cap-stun incident for which he was suspended by George, George had reason to know that Kidd might present a danger to Brown. (Id. at A-7- 9.) However, none of Brown's allegations establish that George either had knowledge of acquiesced to the incident with Brown, or that George maintained a policy that directly caused the violation of Brown's rights. In fact, George did not receive Brown's complaint about the June 27 incident until sometime between July 25 and 30, a month later. (Id. at A-13.) George claims, and Brown does not dispute, that he never met Brown until July 22, 2002. (Id. at A-12.) Further, the facts in the record do not show that George's grievance policy or cap-stun policy directly caused the injury

Page 6

to Brown. [FN14] As a result, George cannot be found to be personally involved in any potential violation of Brown's constitutional rights. Therefore, I will grant summary judgment to Warden George.

> FN14. Brown claims that George encouraged the use of cap-stun in the way that it was used against him. (D.I. 135 at 33-34.) However, there is no support in the record for such a claim.

2. Other Defendants

Again, in order to be liable under § 1983 for a violation of Brown's civil rights, the other Defendants must have been personally involved in the altercation with Brown. There are two ways in which these Defendants could have been personally involved: (1) by being present during the altercation and (2) by failing to intervene. Smith v. Mensinger, 293 F.3d 641, 650 (3d Cir.2002). First, when it is clear that a defendant was present during an alleged Constitutional violation, but it is unclear whether that defendant actually participated in the violation, summary judgment should be denied as to that defendant. See Smith, 293 F.3d at 650 (reversing summary judgment for corrections officers who were present at the beating of an inmate but whose participation in the beating was disputed). Here, it is undisputed that Kidd, Malabet, Chatman, Blades, Costello, Harriatt, and Millman were all present during the altercation with Brown. (See supra at n. 9.) However, Brown is unsure who actually participated in the incident, and Defendants and other eyewitnesses present an unclear picture. (Id.) As a result, Defendants are not entitled to summary judgment in their individual non-official capacities. [FN15]

> FN15. Whether the Defendants might, even if not personally involved, also face liability for failure to intervene in the alleged cap-stun assault and beating also remains an open question. Cf. Smith, 293 F.3d at 650. ("[A] police officer has a duty to take reasonable steps to protect a victim from another officer's use of excessive

Page 7 Slip Copy

Slip Copy, 2005 WL 2271922 (D.Del.)

(Cite as: 2005 WL 2271922 (D.Del.))

force, even if the excessive force is employed by a superior.")

Viewed in the light most favorable to Brown, as is required in considering the Motion, the facts continue to contain genuine, material issues with respect to whether Defendants Kidd, Malabet, Chatman, Blades, Costello, Harriatt, and Millman were personally involved in violating Brown's constitutional rights. Therefore, as to those issues, summary judgment is inappropriate with respect to these Defendants.

C. State Tort Claims Act

*6 Delaware's State Tort Claims Act protects state employees and officials from liability for acts that (1) "arose out of and in connection with the performance of an official duty ... involving the exercise of discretion;" (2) "[were] done in good faith and in the belief that the public interest would best be served thereby;" and (3) "[were] done without gross or wanton negligence." Del.Code Ann. tit. 10, § 4001. Here, the facts are highly disputed as to whether Defendants acted in good faith and without gross or wanton negligence during the June 27, 2002 incident with Brown. If Defendants in fact treated Brown the way that Brown alleges they did on June 27, 2002, their actions may ultimately be found to be lacking in good faith and grossly negligent. Therefore, because of these disputes of material fact, summary judgment on this issue must be denied.

D. Qualified Immunity

personal Regardless of their involvement, Defendants are entitled to summary judgment if their actions are protected by qualified immunity. Government officials are protected by the doctrine of qualified immunity so long as "their conduct does not violate clearly established statutory or constitutional rights of which a reasonable person would have known." Harlow v. Fitzgerald, 457 U.S. 800, 818 (1982). To determine whether a government official is entitled to qualified immunity, a court must first determine whether "the facts alleged show the officer's conduct violated a

constitutional right." Hamilton v. Leavy, 322 F.3d 776, 786 (3d Cir.2003). Next, the court must determine whether the violated right was one that was clearly established. Id. at 786. To be clearly established, "the contours of the right must be sufficiently clear that a reasonable official would understand that what he is doing violates that right." Anderson v. Creighton, 483 U.S. 635, 640 (1987). In other words, the unlawfulness of the conduct must be apparent in light of pre-existing law. Id.

Therefore, I must first examine whether the corrections officers in this case used excessive force in violation of the Eighth Amendment. That inquiry focuses on five factors: "(1) the need for the application of force; (2) the relationship between the need and the amount of force that was used; (3) the extent of the injury inflicted; (4) the extent of the threat to the safety of staff and inmates, as reasonably perceived by responsible officials on the basis of facts known to them; and (5) any efforts made to temper the severity of the forceful response." Smith v. Mensinger, 293 F.3d 641, 649 (3d. Cir.2002), citing Brooks v. Kyler, 204 F.3d 102, 106 (3d Cir.2000), citing Hudson v. McMillian, 503 U.S. 1, 7 (1992). [FN16]

> FN16. Generally, the test for whether excessive force was used is the same under either the Eighth or the Fourteenth Amendment. See, e.g., Cottrell v. Caldwell, 85 F.3d 1480, 1490 (11th Cir.1996) ("Claims involving the mistreatment of arrestees or pretrial detainees in custody governed by the Fourteenth Amendment's Due Process Clause instead of the Eighth Amendment's Cruel and Unusual Punishment Clause, which applies to such claims by convicted prisoners. However, the applicable standard is the same, so decisional law involving prison inmates applies equally to cases involving arrestees or pretrial detainees.") (internal citations omitted). I am not aware of any case, and none has been cited to me, that suggests that the standard for determining whether excessive force has been used is different under the Eighth Amendment

Slip Copy, 2005 WL 2271922 (D.Del.)

(Cite as: 2005 WL 2271922 (D.Del.))

Fourteenth under the than it is Amendment Eighth Amendment. The from specific protection provides excessive force for prison inmates, while the Fourteenth Amendment must be used by pretrial detainees and the general public to protect against such force. Robinson v. Pickett, 16 Fed.Appx. 577, 579 (9th Cir.2001) ("[p]rison inmates, in contrast with pretrial detainees or persons with unrestricted liberty, are protected against unjustified force by the Cruel and Unusual the Punishments Clause of Amendment and gain no greater protection from the Fourteenth Amendment.").

Here, the material facts are highly disputed. First, Brown alleges that he ran from Kidd because he was afraid of being sprayed with cap-stun (D.I. 129 at A-202); Kidd, on the other hand, alleges that he was afraid that Brown would incite the other inmates. (Id. at A-49.) Further, Brown alleges that he voluntarily surrendered to Defendants, making the use of force unnecessary (Id. at A-202), while the Defendants allege that they had to tackle Brown, and that cap-stun was simply used to subdue him. (Id. at A-65, A-69.) There is also a significant dispute as to the extent of Brown's injuries, as Brown alleges that he suffered an injury to his eye as a result of the events of June 27, 2002 (D.I. 97 at ¶ 23), while the Defendants allege that it was impossible for Brown's eye injury to have been caused by their actions. (D.I. 129 at A-322-23.) Viewing the facts in the light most favorable to Brown, there are disputes of material fact as to whether Brown's Eighth Amendment right to be free from cruel and unusual punishment was violated.

*7 The next step is to determine whether the right at issue was clearly established. *Hamilton*, 322 F.3d at 786. This is done by examining whether a reasonable official would understand that he is violating that right, such that "in the light of pre-existing law the unlawfulness [of the official's conduct] [is] apparent." *Anderson*, 483 U.S. at 640. An official is not entitled to qualified immunity "if, on an objective basis, it is obvious that no reasonably competent officer would have concluded

Page 8

that [the action was lawful]; but if officers of reasonable competence could disagree on this issue, immunity should be recognized." *In re City of Philadelphia Litigation*, 49 F.3d 945, 961-62 (3d Cir.1995) *quoting Malley v. Briggs*, 475 U.S. 335, 341 (1986).

Here, the Eighth Amendment right to be free from cruel and unusual punishment is clearly established. Furthermore, it is clear from the existing law that the use of excessive force by a prison guard against an inmate is a violation of this right. See, e.g., Amaro v. Taylor, 170 F.Supp.2d 460 (D.Del.2001) (denying qualified immunity to prison guards who used excessive force against an inmate). Looking at the facts in the light most favorable to Brown, the use of cap-stun against Brown and the smashing of his face into the ground could constitute excessive force, and would thus be unlawful in light of the existing law. Because the facts on this issue are disputed, Defendants are not entitled to summary judgment on qualified immunity grounds.

V. CONCLUSION

Accordingly, I will grant summary judgment as to the claims against Defendant George, and as to the claims against Defendants Kidd, Malabet, Chatman, Blades, Costello, Harriatt, and Millman in their official capacities. However, I will deny summary judgment as to the claims against Kidd, Malabet, Chatman, Blades, Costello, Harriatt and Millman in their individual capacities. An appropriate order will follow.

ORDER

For the reasons set forth in the court's Memorandum Opinion of today's date in this matter,

IT IS HEREBY ORDERED that Defendants' Motion for Summary Judgment (Docket Item 127) is GRANTED as to all claims against Defendant Robert George and as to the claims brought against all other Defendants in their official capacities, and DENIED in all other respects.

Slip Copy, 2005 WL 2271922 (D.Del.)

Page 9

Slip Copy, 2005 WL 2271922 (D.Del.)

(Cite as: 2005 WL 2271922 (D.Del.))

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